

## Electronic Patent Application Fee Transmittal

| <b>Application Number:</b>                     | 08868200                                                      |                 |               |                             |
|------------------------------------------------|---------------------------------------------------------------|-----------------|---------------|-----------------------------|
| <b>Filing Date:</b>                            | 03-Jun-1997                                                   |                 |               |                             |
| <b>Title of Invention:</b>                     | SYSTEM AND METHOD FOR TRADING HAVING A PRINCIPAL MARKET MAKER |                 |               |                             |
| <b>First Named Inventor/Applicant Name:</b>    | HOWARD B. GARBER                                              |                 |               |                             |
| <b>Filer:</b>                                  | Herbert V. Kerner                                             |                 |               |                             |
| <b>Attorney Docket Number:</b>                 | 8406/4                                                        |                 |               |                             |
| Filed as Small Entity                          |                                                               |                 |               |                             |
| <b>Utility under 35 USC 111(a) Filing Fees</b> |                                                               |                 |               |                             |
| <b>Description</b>                             | <b>Fee Code</b>                                               | <b>Quantity</b> | <b>Amount</b> | <b>Sub-Total in USD(\$)</b> |
| <b>Basic Filing:</b>                           |                                                               |                 |               |                             |
| Maintenance Fee Due at 11.5 years              | 2553                                                          | 1               | 2365          | 2365                        |
| Surcharge after Expiration-Unintentional       | 1558                                                          | 1               | 1640          | 1640                        |
| <b>Pages:</b>                                  |                                                               |                 |               |                             |
| <b>Claims:</b>                                 |                                                               |                 |               |                             |
| <b>Miscellaneous-Filing:</b>                   |                                                               |                 |               |                             |
| <b>Petition:</b>                               |                                                               |                 |               |                             |
| <b>Patent-Appeals-and-Interference:</b>        |                                                               |                 |               |                             |
| <b>Post-Allowance-and-Post-Issuance:</b>       |                                                               |                 |               |                             |

| Description               | Fee Code | Quantity | Amount | Sub-Total in USD(\$) |
|---------------------------|----------|----------|--------|----------------------|
| <b>Extension-of-Time:</b> |          |          |        |                      |
| <b>Miscellaneous:</b>     |          |          |        |                      |
| <b>Total in USD (\$)</b>  |          |          |        | <b>4005</b>          |